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1 ENZYMATIC HYDROLYSIS AT HIGH LIGNOCELLULOSIC CONTENT:

2 OPTIMIZATION OF THE MIXING SYSTEM GEOMETRY AND OF A FED-BATCH

3 STRATEGY TO INCREASE GLUCOSE CONCENTRATION

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7 ABSTRACT

Working at high values of lignocellulosic Dry Matter (DM), as wheat straw, increases the reaction 8 medium viscosity, making the mixing inefficient with the traditional agitators. Batch and fed-batch 9 tests were conducted using different impellers: i) inclined blades, ii) marine impeller, iii) anchor, iv) 10 paravisc and v) double helical impeller. Inclined blades appeared an inadequate device for batch 11 and fed-batch tests. On contrary, double helical impellers and anchor gave optimal performances. 12 An alternative to improve the reactor's rheology is the modification of the feeding strategy. A 13 particular fed-batch strategy allowed keeping low the reaction medium viscosity by a gradual 14 increasing of the DM content in the reactor. In this way, three main benefits were achieved: i) a 15 very good performances in terms of glucose concentration (85 g/L), ii) a strong reduction of the 16 energetic consumption compared to batch test and iii) the adoption of a simple mixing devise. 17 **KEYWORDS**: Bioethanol; High Dry Matter; Mixing; Enzymatic hydrolysis; Fed batch; 18

19 Lignocellulosic materials

1. Introduction

The conversion of lignocellulosic materials in glucose by enzymatic hydrolysis is a consolidate 21 process in the biotechnological field [1] but requires improvements to be more economically 22 advantageous, when the reactor works at high Dry Matter (DM) concentration. High DM content 23 contributes to reduce the working volume, process water, capital costs and the energy demand for 24 the following biological steps, i.e. the fermentation and distillation ones in bioethanol production 25 26 [2], [3]. Previous studies on enzymatic hydrolysis of lignocellulosic materials at high DM content demonstrated that mass and heat transfer is inhibited in reactors operating with the most common 27 impellers, i.e. Rushton or inclined blades [3], [4]. It depends on the recalcitrant nature of 28 lignocellulosic polymers, which comports the increasing of the reaction medium's apparent 29 viscosity. In particular Battista et al. [5] investigated on the correlation between the lignocellulosic 30 31 physical characteristics and the viscosity within the reactor. They demonstrated that high porosity substrates, such as wheat straw (WS), have an elevated water adsorption tendency which, at high 32 DM content, causes the increasing of reaction medium viscosity. Ghorbanian et al. [3] and Dasari 33 34 and Berson [6] used no conventional reactor for the enzymatic hydrolysis at high DM concentration, adopting a Horizontal Rotating Reactor (HRR) rotating at very low speed to provide 35 motion. They recorded a lower energetic power for the mixing than conventional stirred tank 36 37 reactor and an adequate heat transfer. Despite these advantages, HRRs provided good mixing only in the angular direction of the motion, while in the case of enzymatic biomass processing, multi-38 direction mixing and transport was needed to disperse enzymes and optimize sugars productions 39 [7], [8]. As consequence, at the end of the enzymatic hydrolysis the sugars concentration was low 40 [9], [10], [11]. In addition, HRR configuration was not adapted for big scales, such as industrial 41 42 ones [12]. Thus, improving the rheology of stirred tank reactors represents the most convenient way to work at high DM lignocellulosic content. 43

44 The mixing performances of a stirred tank reactor are affected by several factors: the tank

45 dimensions and its geometry (the Height/Diameter (H/D) ratio, the tank bottom morphology, the

presence and number of baffles) [12]. The rotational speed of the impeller, which is strictly linked 46 47 to the power supplied by the motor, is another important parameter to consider. Anyway, the impeller geometry (its shape and its dimensions) represents probably the most impacting factor on 48 the mixing performance. Different geometries influence the intensities of the radial and axial boots 49 changing deeply the reactor rheology. Anchor and helicoidal impellers, for example, are indicated 50 for viscous reaction medium, while Rushton and inclined blades recorded very low performance in 51 52 these conditions [12]. Finally, Mondebach and Nokes (2013) [13] also showed that the substrates feeding's strategy was a way to improve the rheology of the bioreactor. They demonstrated that fed-53 batch offers advantages in the enzymatic hydrolysis over the batch mode: the initial substrates 54 55 quantity fed into the reactor was lower, so diffusion and mixing limitations can be minimized. In addition, fed-batch strategy permitted to the enzymes to better liquefy the recalcitrant 56 lignocellulosic polymer. 57

58 The aim of this work was the investigation of the influence of the mixing systems geometry and of the reactor's feeding strategy on the enzymatic hydrolysis performances at high DM content. 59 Impellers, having different diameter sizes and shapes, have been tested in batch and fed-batch 60 mode. In addition, a fed batch strategy, the Fed Batch Gradual Addition (FBGA), has been 61 implemented in order to simplify the reactor mixing devise and, at the same time, to have a high 62 glucose concentration and a reduction of the energetic consumptions for the mixing. 63

2. Materials and Methods 64

65

2.1 Characterization of the substrates and of the enzymatic cocktail

Pretreated WS has been used for the tests. The pretreatment, conducted by an external company, 66 consisted into the cutting of straws in 2 mm fibers, the soaking in an acid solution and the steam 67 explosion process at 200 °C and 13.4 bar for 7.5 minutes. Pretreated WS had a DM concentration 68

69 of 70.79 % *w/w* and a cellulose content of 42.20 ± 2.07 % *w/w*. Cellulose content in the pretreated 70 WS has been determined by acid hydrolysis method [14].

Cellic CTec-2 (Novozymes) cellulase blend was used for all enzymatic hydrolysis tests. The
enzymes concentration and the density of the enzymatic cocktail are 217.20 mg protein/g and 1.21
kg/L, respectively. The amount of the enzymatic cocktail was of 44 mL and has been determined
through the methods by McIntosh et al. [15].

75 **2.2 The equipment**

The enzymatic hydrolysis of WS has been conducted in a 3L reactor (height 175 mm, diameter base
150 mm) (Figure 1), equipped with a torque meter Kistler 4503A measuring torque till a value of 2
Nm and with a data detection frequency variable from 1 to 10 Hz. The reactor was also equipped
with a water-heater and with temperature and pH control sensors.

In order to demonstrate the mixing system geometry's influence on the enzymatic hydrolysis at high 80 DM conditions, five different impellers have been adopted for the tests (Figure 1). Two impellers 81 82 were characterized by small diameter (90 mm): the Inclined Blades Impeller (IBI) and the Marine Impeller (MAI). IBI was made in stainless steel and the blades was 20 mm high. An alternative 83 version of IBI, the PLASTIC IBI, was realized in plastic by a 3D printer, and was adopted only for 84 the group tests described in 2.3.2 paragraph. MAI was realized in plastic by a 3D printer too. Three 85 different impellers with a big diameter (140 mm) have been also considered for the tests: the 86 Anchor Impeller (AI), the Paravisc Impeller (PI) and the Double Helicoidal Impeller (DHI), all 87 realized in stainless steel. The characterization of the impellers has been reported in Table 1. All 88 89 the mixing systems have been located at 30 mm from the bottom of the reactor.



91 Figure 1. The reactor and the impellers used for the tests

Impeller	Diameter (mm)	Height of the blades (mm)	Number of blades
IBI	<u>90</u>	20	4
PLASTIC IBI	90	40	4
MAI	90	20	3
AI	140	100	2
PI	140	150	2
DHI	Internal helice: 50 external helice: 140	Internal helice: 155 external helice: 160	2

93 Table 1. Characterization of the impellers used for the tests

94 **2.3 Description of the tests**

95 Two groups of tests have been conducted along this work. The first group consisted in Batch and

96 Fed-Batch 50 tests, with the aim to investigate the influence of the impeller geometry on the

97 enzymatic hydrolysis. The second group has been realized to implement a fed-batch strategy, the

98 FBGA, considering only the small diameter impellers: the MAI, the IBI and the PLASTIC IBI,

- 99 which resulted inadequate in the previous Batch and Fed-Batch 50 tests. All tests have been
- 100 conducted in triplicate, to ensure their repeatability, at optimal operative conditions of 50°C, pH in
- 101 the range of 5.0 5.5. pH was adjusted using a NaOH (2 N) solution. The abbreviations and the
- 102 descriptions of the tests have been reported in Table 2.
- 103

Labels	Description of the tests
B-IBI	Batch test with inclined blades impeller
B-MAI	Batch test with marine impeller
B-AI	Batch test with anchor impeller
B-PI	Batch test with paravisc impeller
B-DHI	Batch test with double helicoidal impeller
FB50-IBI	Fed batch test with 50% of the total mass of the reaction medium loaded at the beginning with inclined blades impeller
FB50-MAI	Fed batch test with 50% of the total mass of the reaction medium loaded at the beginning with marine impeller
FB50-AI	Fed batch test with 50% of the total mass of the reaction medium loaded at the beginning with anchor impeller
FB50-PI	Fed batch test with 50% of the total mass of the reaction medium loaded at the beginning with paravisc impeller
FB50-DHI	Fed batch test with 50% of the total mass of the reaction medium loaded at the beginning with double helicoidal impeller
FBGA-IBI	Fed batch with gradual addition of WS. Metallic inclined blades as impeller
FBGA-PLASTIC IBI	Fed batch with gradual addition of WS. Plastic inclined blades as impeller
FBGA-MAI	Fed batch with gradual addition of WS with marine impeller
T 11 0 111 · ··	

- 104 Table 2. Abbreviations and descriptions of the tests
- 105
- 106 2.3.1 Batch and Fed-Batch 50 tests
- 107 Batch and fed-batch 50 tests (Table 2) have been prepared in order to have a constant DM
- 108 concentration of 20% *w/w*, along all the test duration.
- 109 For batch tests the reactor was fed with 2.8 kg of WS-water mixture (0.85 kg of WS). These batch
- 110 tests, where the entire reaction medium has been charged at the beginning of the enzymatic
- 111 hydrolysis, represented the extreme situation for the mixing. In Fed batch 50 tests only the 50% of
- the 2.8 kg WS-water mixture was fed at the beginning of the tests. The rest of the mass has been
- added 10, 30, 60, 105 and 120 minutes after the beginning of the test in equal parts. At these times
- the apparent viscosity dropped under 150 cP for all the tests, a good mixing was observed allowing

new WS addition. This criterion was not applicable for FB50-IBI which did not demonstrate

improvements in mixing. The duration of each test has been established at 5 hours, while the mixingdevices operated at 80 rpm.

118 2.3.2 Fed Batch Gradual Addition (FBGA) tests

119 FBGA tests (Table 2) have been conducted using only the small diameter size impellers (IBI,

120 PLASTIC IBI and MAI). Compared to the previous fed batch strategy, where the DM concentration was always constant at 20 % w/w, the FBGA tests contemplated a gradual increasing of DM content 121 during the test. 1,950 g of water and 250 g of WS have been charged in the reactor at the beginning 122 of the test, then the remaining 600 g of WS have been fed after 1.0, 2.5, 3.5, 19.0 and 24.0 hours, to 123 reach 20% DM w/w. In this way, a gradual liquefaction of the WS particles was possible, without 124 overcoming the critical apparent viscosity, which has not allowed an adequate mixing with IBI and 125 MAI in batch tests. The choice to adopt these small impellers does not constitute a contradiction. In 126 fact, one of the major goal of this research is to demonstrate how feeding strategy can influence the 127

reactor's rheology and allow the mixing system simplification. Therefore the positive performances of FBGA strategy with simple impellers are surely valid with the big diameter ones, which achieved

130 good performance in batch test, where harder rheological conditions were applied.

To find the FBGA best operative conditions, three preliminary tests have conducted with IBI, which
recorded the worst performances in the previous Batch and Fed-batch 50 tests:

- a) To determine the FBGA ideal duration, a five days test has been realized, monitoring the
 energetic consumption to assure the mixing for gram of glucose produced. This test has been
 conducted at 80 rpm, in order to be compared with the previous ones
- b) To verify the mechanical stress influence on the enzymatic activity, a test at high (200 rpm)
 rotational speed was conducted;
- c) Tests with different enzymes strategy: i) Zero Enzymes test (ZE), where the 44 ml of the
 enzymatic cocktail have been added at the beginning of the test, and ii) Gradual Enzymes

(GE) test, where the 44 ml of the enzymatic cocktail have been divided in 6 equal parts andadded simultaneously with WS.

142 **2.4 Analytical methods**

The DM content of WS has been determined according to standard methods described in literature
[16]. DM represents the content of solids present in the substrates, including the inert materials and
the degradable ones [17].

The apparent viscosity of the WS-water mixtures have been determined at 20% DM w/w before the 146 beginning of the enzymatic hydrolysis. The equipment used for the apparent viscosity measurement 147 and data recollection was the viscometer DV-II-PRO by Brookfield provided with a cross rotating 148 spindle working at 80 rpm. The glucose concentration has been quantified by an enzymatic reaction 149 using the GLUCOSTAT YSI2700. D-glucose determination was possible by the glucose-oxydase 150 enzyme, immobilized in the Dextrose YSI membrane. The occurring reaction was the following: 151 /1/ Dextrose + $O_2 \rightarrow H_2O_2 + D - glucono-\delta$ -Lactone 152 153 The oxygen water produced by the previous reaction was oxidised by a silver electrode: the released electrical current was proportional to the glucose concentration. 154

155 **2.5 Definition of the parameters used for the evaluation of the tests**

The evaluation of the performances has been realised by three different parameters considering the most affecting factors all the bio-technological processes: the reaction medium fluid-dynamic, the mixing energy consumption and the glucose concentration.

159 2.5.1 The Mixing Time

Mixing time (tm) is the characteristic parameter used to investigate the performance of stirred tank reactors and it is often used as an indication of impeller effectiveness [18]. The shorter the mixing time the more effective the blending [19]. The mixing time was determined by the pH pulse method [20]: 10 mL of NaOH (2 N) solution will be put in the reaction medium and the mixing time was estimated as the time required for the pH to reach 95 % of its final value. The mixing time

165	determination has been conducted at the beginning of the tests, when the adjustment of the acid
166	reaction medium is necessary to reach the operative pH value of 5.5, and at the end of the
167	hydrolysis, before the discharging of the reactor.
168	2.5.2 Power Input required by the mixing system
169	The power consumption was determined from the torque meter values. Because of the friction
170	factor, the torque generated by the motor (Mm) is not fully transmitted by the impeller to the
171	reaction medium [21]. The corrected torque value Mc was calculated by Equation 2:
172	Mc (Nm) = Mm - Mr /2/
173	where Mm is the measured torque and Mr is the residual torque, determined by measuring the
174	torque at 50 rpm in the empty vessel. The values of Mm were recorded each second by the torque-
175	meter for all the duration of the test. An average value of Mc has been calculated by Excel each 15
176	minutes (Δt) and used for the following calculation of the power (P) and mixing energy
177	consumptions (E):
178	$P(W) = Mc 2\pi N$ /3/
179	Where N is the rotational speed. Finally, the mixing energy consumption is given by the equation:
180	$E (J) = \Sigma P_i \Delta t_i $ /4/
181	Where P_i is the power consumption for the th-esim time range Δt_i of 15 minutes (900 s).
182 183	2.5.3 Glucose concentrationTo evaluate the performance of the enzymatic activity, the cellulose conversion into glucose can be

- used [22]. The glucose concentration was measured at the end of each test.
- 185 **3. Results and Discussions**

186 **3.1** The influence of the impellers' geometry: Batch and Fed-Batch 50 tests

187 Figure 2 summarizes the performances of the big diameter impellers during the batch test. The

small devices IBI and MAI performances were not reported because the high reaction medium

apparent viscosity (about 350 cP), which has not permitted an adequate mixing at 20% *w/w* DM.
MAI, in fact, assured the mixing only when the impeller rotational speed was increased to 250 rpm.
Du et al. [23] demonstrated that increasing the rotational speed of the mixing device caused a
reduction of the cellulose conversion into glucose, mainly when the DM concentration was higher
than 10% *w/w*.





Figure 2. Mixing time, energy consumption for mixing and glucose concentration of the batch tests 195 Instead, AI, PI and DHI, characterized by tall and big diameter blades, were able to supply a 196 sufficient torque to guarantee the reaction medium mixing at 80 rpm. AI and PI achieved similar 197 results (Figure 2): the mixing time at the end of the test were 27 and 29 s respectively, the energetic 198 consumption for the mixing of 9.12 and 10.50 kJ and the glucose concentration of 23.36 g/L and 199 20.12 g/L. Anyway, the mixing was not perfect with AI: a 15 mm layer of sedimented particles was 200 present at the bottom of the reactor. Nagata [24] reported that AI is suitable for the mixing of 201 viscous liquids, but is not recommended for completely uniform mixing. More recently, this 202 203 concept has been confirmed by Patel et al. [25], who explained that AI primarily generates a strong radial boost, but is not able to assure an axial movement, indispensable to avoid particles 204

sedimentation. For these reasons, a combination of AI with another impeller is recommended, toguarantee also some axial boots to the fluid [26].

The best performances with the batch mode have been achieved by DHI, the most complex impeller used along this work (Table 1). The DHI dimension and shape allowed assuring adequate radial and axial boots to all the mass of the reaction medium. The good mixing performances where certified by smaller levels of mixing time and energetic consumption of 8.5 s and 8.54 kJ, respectively. The rheological improvements allowed the glucose concentration increasing to 33 g/L, confirming that the correlation between bioconversion activity and mixing [27].



213



Figure 3 shows the performances of the fed-batch 50 tests. The first relevant fact is that all the impellers were able to assure the mixing at 80 rpm. It depended to the feeding strategy: only the 50% of the total reaction medium was fed at the beginning of the test. In this way, the liquefaction of the particle of this first WS addition reduced the apparent viscosity from about 350 cP to 80 cP, 220 permitting the following WS additions. Fed-batch strategy, in fact, offers advantages in the 221 enzymatic hydrolysis over the batch mode: the initial substrates quantity fed into the reactor is lower, so diffusion and mixing limitations can be minimized [13]. Anyway, the different impellers 222 have not worked at the same way. The worst performances were obtained with the IBI, which was 223 the unique case where mixing time increased during the enzymatic hydrolysis: it passed from 61 to 224 104 s. The high value of mixing time at the beginning of FB50-IBI demonstrated that the mixing 225 was not good. In addition, the liquefaction of the first 50% of the total WS mixture was not 226 complete when the following additions occurred, giving a further increasing of the reaction medium 227 apparent viscosity. Consequentially, the energetic consumption was the highest of all the fed-batch 228 229 tests (18 kJ), while the glucose concentration the lowest (13 g/L). B-IBI and FB50-IBI tests demonstrated that IBI was inadequate for the mixing of Non-Newtonian and viscous fluids, because 230 it transmitted radial and axial boosts of weak intensity to the reaction medium, which can involve 231 232 only the region close to the impeller blades, leaving stagnant conditions in the other regions of the reactor [25]. 233

234 MAI achieved better performances: the mixing time decreased during the FB50-MAI test from 55 s to 36 s, and glucose concentration reached the 28 g/L. This improvement is explicable considering 235 the MAI shape, designed to transmit a strong axial boots [28], which assured the mixing at the 236 237 whole reaction volume [17]. Anyway, the energetic consumption remained high of 17 kJ, confirming that MAI was not the ideal configuration for high viscous fluid mixing. 238 The performances of fed-batch 50 tests achieved by big diameter size impellers (AI, PI and DHI) 239 have followed the same trends of batch tests (Figure 3). AI and PI obtained similar results, with 240 241 marginal sedimentation phenomena with AI. DHI confirmed the best performances, with very low mixing time and energetic consumptions of 7.2 s and almost 8 kJ and a very high glucose 242 concentration value of 43.4 g/L after only 5 h of enzymatic hydrolysis. Feb-batch 50's better 243 performances can be also justified considering that the reaction medium gradual addition allow to 244 minimize the enzymes deactivation phenomena. Some works, in fact, claim for inhibition of 245

endoglucanases and exoglucanases when large concentrations of cellobiose and glusose, are present in the reaction medium. Cellobiose can be consider an intermediate product in enzymatic reactions which permit the cellulose degradation in glucose. Cellobiose is able to more affect exoglucanases and endoglucanases, whilst the action of β -glucosidases could be more affected by glucose when its concentration is more than 40 g/L [29], [30].

251 **3.2 FBGA tests**

The rheology of the reactor are not dependent univocally by the geometry of the mixing device, but also by the strategy of the substrates addition, as remarked by Mondebach and Nokes (2013) [13]. In order to demonstrate the feeding strategy importance, the FBGA has implemented using simpler and smaller impellers.

3.2.1 Operative conditions to optimize FBGA strategy 256 257 As previously reported, three preliminary tests have been conducted to find the operative conditions 258 which permitted to optimize FBGA strategy. Figure 4 shows the glucose concentration in the 259 reaction medium and the amount of glucose produced for kJ of electricity used to assure the mixing. 260 261 The glucose had an exponential increasing in the first 24 hours of the test, then the growth was slowest. 50-70 g glucose were produced for kJ during the first two hours of the test. The production 262 was 10-15 g/kJ after 24 h, and it declined under 10 g/kJ after 48h from the beginning of the test. 263





the glucose concentration of the test. The mechanical stress' removal usually comports a new
increasing of the enzymatic catalysis but at lower levels than the original ones [31].
It was so demonstrated that FBGA was able to reach better performances in term of glucose
concentration and energetic consumption at low rotational speed and 80 rpm was selected as
operative speed for FBGA strategy.



284

Figure 5. Effect of the rotational speeds on the enzymatic hydrolysis

Finally, the adoption of the best enzymes addition strategy has been investigated. Figure 6 shows

the difference in glucose concentration between ZE and GE strategies.



Figure 6. Effect of the enzymes addition strategy on enzymatic hydrolysis

290 The glucose growth was fast in ZE, where all the amount of enzymes was immediately available for the cellulose conversion. By the time, these too rapid glucose accumulation in the reaction medium, 291 caused the inhibition of the enzymes. As previously described, it was demonstrated that cellobiose 292 accumulation negatively affected exoglucanases and endoglucanases enzymes, while the β-293 glucosidases enzymes were more affected by a high glucose concentration [32]. Instead, the gradual 294 295 addition of the enzymes in GE test permitted to replace the inhibited ones. The glucose increasing was slowest than ZE along the first 24h of the test, but a higher glucose concentration was achieved 296 at the end of the test (Figure 6). GE strategy was adopted for the FBGA tests. 297

2983.2.2FBGA tests with small diameter impellers

The optimized FBGA gave very good performances: MAI, IBI and PLASTIC IBI achieved a 300 glucose concentration of 80 -85 g/L, with a low energetic consumption between 35-40 kJ in 48 h of 301 enzymatic hydrolysis. Three considerable goals were achieved by FBGA strategy: i) the improving 302 303 of the cellulose conversion into glucose, ii) the simplification of the mixing system and iii) the reduction of the energetic consumption. These results demonstrate that viscosity problem is not 304 present with FBGA strategy because it allows keeping the viscosity values always under the critical 305 value beyond which the mixing was not efficient with small diameter impeller in batch and fed-306 batch tests, allowing the use of simple mixing devices. 307

308 **Conclusions**

299

The influences of the impellers geometry and of the feeding strategy on the enzymatic hydrolysis at high DM lignocellulosic content were studied. It was demonstrated that small and simple diameter were inefficacy in batch condition, while big diameter and complex impellers, especially DHI, had good performances in batch and fed-batch 50 modes.

Instead, FBGA strategy, optimized opportunely for high DM content, achieved the adoption of
simple and small diameter, a very high glucose concentration and low energetic consumption for

the mixing by a gradual DM increasing within the reactor. This allows to demonstra	te that the
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316 rheology is influenced both by the impeller's geometry and by the reactor' feeding strategy.

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