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# Probing Multiscale Structure of Mineral and Nanoporous Kerogen Phase in Organic-Rich Source Rocks : Quantitative comparison of Small-Angle X-ray and Neutron Scattering

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12 ABSTRACT

Source rocks are expected to become increasingly important in the upcoming years for oil and gas production as well as for the storage of greenhouse gases. These rocks are bedded and heterogeneous media, composed of minerals, kerogen and pore space. One of the most challenging issue is to better

16 define the pore space, including pore size distribution, pore volume fraction, pore connectivity and 17 pore affinity for various fluids. The aim of this study is to achieve such relevant parameters using X-18 ray and neutron scattering techniques complementarity. Rocks thin blades cut normal to bedding plane preserve sample integrity and allow their measurements on both techniques. 2D scattering patterns 19 20 show anisotropy, due to preferential orientation of lamellar minerals, which allows us to assess an 21 order parameter. This parameter is a valuable tool for mechanical and transport properties. A model 22 based on a three-phase system (minerals, kerogen and pores) is developed through the study of 23 scattering length density of each component within both radiations. The model allows us to fit both X-24 ray and neutrons 1D data using the same model parameters. This later is then considered as selective. 25 It allows us to extract the kerogen mass density, the kerogen pore size distribution and its associated 26 volume fraction, and the chemical nature of kerogen pore content. This methodology has been applied 27 to a series of five source rocks of increasing maturities, from Barnett Shale Play and Montney-Doig formations. Mature samples show a kerogen density of  $\sim 1.6$  g.cm<sup>-3</sup>, a pore radius distribution centered 28 on 0.5 - 0.7 nm accounting for a pore volume fraction of ~ 0.01-0.04. These kerogen nanopores are 29 filled by light condensed hydrocarbons. However, the overmature kerogen exhibit a mass density of 30 1.74 g.cm<sup>-3</sup>, an additional pore radius distribution centered around 3.5 nm with a pore volume fraction 31 32 of 0.002 and an empty pore space. All these parameters are in agreement with Rock-Eval pyrolysiss 33 measurements and literature data on similar source rocks. These results pointed out that the three-34 phase model associated with X-ray and neutron complementarity could be applied to *in-situ* studies.

### 35 1. INTRODUCTION

36 Source rocks formations are one of the most widespread reservoir on earth<sup>1</sup> and display a high 37 potential of storage capacity.<sup>2</sup> Since recent years, gas and oil coming from organic-rich source rocks 38 systems, also known as shale gas, present an increasing interest in North American and Asian

countries.<sup>3-4</sup> In the next decade, shale gas production will reach almost a fifth of the world natural gas 39 40 production.<sup>4</sup> Thus, such geological system is expected to be intensively exploited in the upcoming vears.<sup>5</sup> The source rocks are reported in the literature as a heterogeneous<sup>6</sup> and anisotropic media<sup>7-10</sup>. 41 42 Shale gas are complex systems composed of several types of minerals (*i.e.* silicates, phyllosilicates, tectosilicates, carbonates and iron sulfide) combined with organic matter (i.e. kerogen, bitumen and 43 pyrobitumen).<sup>11</sup> The rock anisotropy observed within bedding structures suggests that a preferential 44 orientation condition the fluid flows through the system.<sup>12</sup> The porous media are contained by both, 45 organic or inorganic phases with macropores (> 50nm) and mesopores (from 50nm to 2nm) mainly 46 located in minerals, and micropores  $(< 2nm)^{13}$  mostly encountered in the organic phases<sup>14-15</sup> or in the 47 48 interlayer space of clay minerals. Fluids in pore space, such as gas and oil, are released by the organic phase during the burial process.<sup>16</sup> As it is also well known, this material has a low permeability (in the 49 range of nD).<sup>17</sup> low porosity and high retention capacity through physisorption mechanism<sup>18-19</sup> which 50 51 may vary depending on the rocks micropores content. Currently, a scientific interest on source rocks transport and storage properties led to an investigation on rock porosity.<sup>8,20-25</sup> Studies are focusing on 52 the improvement of nanoporous media understanding through the assessment of total porosity, 23,26-32 53 open versus closed pores content,<sup>10,33</sup> pore size distribution,<sup>10,14,15,17,20-23,27,28,34-39</sup> 54 their connectivity<sup>8,10,21,28,34</sup> and the porous network tortuosity.<sup>34,40</sup> Accordingly, several analytical methods 55 adsorption/desorption,<sup>23,27-30,41</sup> mercury 56 are employed such as gas injection capillary pressure,<sup>17,21,25,28,36,42</sup> NMR relaxometry,<sup>43,44,45</sup> NMR cryoporosimetry,<sup>46</sup> multifrequency and 57 multidimensional NMR<sup>47</sup> and Small-Angle Neutron Scattering (SANS).<sup>6,15,20,23,27,28,34,35,39,48</sup> 58

59 SANS is used to bring details on the source rocks total porosity<sup>28,38</sup> and to determine their pore size 60 distribution (PSD).<sup>10,23,28,38</sup> A dedicated workflow was developed from a few decades through the 61 acquisition of SANS data.<sup>6,15,20,23,27,28,34,35,39,48</sup> Classically, source rocks are described as a two-phase system, depending on the matrix (*i.e.* mineral and organic matter) and the pore space. Then, scattering
data are modeled by the polydisperse spherical pore (PDSP) model weighted by a term of contrast
between the sample matrix and the pores space.<sup>20,27,28,34,35</sup>

The model describes the scattering intensity I(Q) of diluted spherical pores of radius R (Equation 1) as a function of the volume fraction,  $\phi = \int_{Rmin}^{Rmax} N(R) \cdot V(R) d(R)$ , of polydisperse spheres, the form factor P(Q,R) of spheres, and their volume, V(R). Such model depend on the squared Scattering Length Density (SLD)  $\rho$  difference of the considered phases, such as the matrix or the pore space, and can be expressed as follows:

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$$I(Q) = \left(\rho_{matrix} - \rho_{pores}\right)^2 \int_{R_{min}}^{R_{max}} N(R) \cdot V^2(R) \cdot P(Q, R) d(R)$$
(1)

71

From these modeling a PSD is determined as well as the sample total porosity  $\phi$ . Small-angle 72 73 scattering is one of the rare technique which probe the total pore space. Both SAXS and SANS techniques, are based on the same principle, it is then possible in theory to obtain similar results<sup>48</sup>. 74 However, small-angle X-ray scattering on source rocks is less investigated<sup>49,50</sup> than SANS owing to 75 76 the presence of pyrite, with a different SLD than other minerals, introducing a supplementary phase. 77 Beam types as neutron or X-ray have a different interaction through the material which can be 78 exploited as a complementary. Indeed, the different X-ray and neutron contrast are expected to better 79 define the chemical nature of fluids in pore space.

Herein, this work is focused on the neutron and X-ray scattering profiles similarities and complementarities. The aim is first to provide details on the minerals orientation, the kerogen mass density, pore size contained in the kerogen phase and their associated volume fraction, and then to assess the nature (gas or oil) of fluids in place. A multi-technical approach through the comparison of X-Ray diffraction, Rock-Eval pyrolysis, Headspace gas chromatography, Field-Emission Scanning Electron Microscopy and small-angle scattering techniques, is expected to bring rocks structural information. For that, five source rock samples are selected based on their petrological and geochemical properties to form a continuous sequence of organic matter maturity. Their X-ray and neutron scattering intensities are then compared in order to get new information on (i) minerals orientation relatively to the bedding plane, (ii) the maturity of kerogen, (iii) the pore size distribution and the occupancy of pores in respect to gas or hydrocarbon phase.

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### 92 2. MATERIALS AND METHODS

### 93 **2.1. Source rocks.**

94 Source rocks chosen and used in this study were provided by IFPEN and were collected from two 95 geological North-American Formations as part of research projects dedicated to unconventional oil 96 and gas reservoirs (GASH European program (2009-2012) and MGH IFPEN internal research 97 program). Four samples named as BSP-2, BSP-3, BSP-4 and BSP-5 come from the Mesquite 1 well of 98 the Barnett Shale Play (BSP) (Texas – United States). The BSP samples were deposited in a foreland 99 basin in the Fort Worth Basin. These rocks are fine grained laminar silicate-rich and organic-rich 100 source rocks. The last sample MT-1 originates from the Cypress field (well C-30-1 / 94-b-15) of the 101 Montney-Doig Formation (MT) (Alberta and British Columbia – Canada). This sample was deposited 102 during sediment accumulations in a foreland basin. It is a laminated coarse grained silicate-rich and 103 organic-rich rocks. In the Table 1, general information about the sample geological formation and the 104 depth at which they were collected, are listed.

### 105 **2.2. Sample preparation.**

For Rock-Eval pyrolysis and X-Ray Diffraction (XRD) measurements, samples were crushed into < 50 µm powders. HeadSpace Gas Chromatography (HS-GC) analysis was performed on rock sections cut parallel and also cut normal to bedding. These sections were shaped into parallelepiped subsample of about 1 x 2 x 0.2 cm. Field Emission Scanning Electron Microscopy (FESEM) observations and scattering measurements were made on rock thin blade sections cut normal to bedding. A schematic summary for sample preparation according to the technique used is illustrated *Figure 1*.

Source rocks are a heterogeneous systems where a representative volume is difficult to assess. In addition, the comparison between Small-Angle Neutron Scattering (SANS) and Small-Angle X-ray Scattering (SAXS) measurements requires to be performed on a similar probed volume as both techniques have different beam sizes, classically 0.5 x 0.5 mm for SAXS and 5 x 5 mm for SANS. Sample thickness optimization is also important as optical path is related both to the sample transmission, different for each radiation, and to multi scattering issues.<sup>22,33,35,38,39,51</sup> In the chosen conditions (see below), transmission is high for SANS about 90 %, and low for SAXS, around 10 %.

119 From these considerations and prior to this study, the sample thickness and the probed volume 120 homogeneity were assessed on a sample, called MT-2, with a low Total Organic Carbon (TOC) 121 content (1.4 %wt), extracted from the well 0/14-32-073-08W6 of the Montney-Doig formation at a 122 depth of 2070 meters. This well is located at 270 Km South-East of MT-1 sample. MT-2 was chosen 123 to evaluate the effect of mineral variability on scattering intensity, as it have a low TOC content. The 124 influence of sample thickness on SAXS data were analyzed to determine the occurrence of multiple 125 scattering effects. SAXS measurements were conducted on MT-2 normal cut to bedding thin blade 126 sections within a thickness of 100, 140 and 210  $\mu$ m. Scattering intensities were normalized to the 127 sample thickness and transmission. Results (supplementary information) show that intensities in absolute units are about the same according to three different sample thickness suggesting (i) no multiple scattering in this Q range and (ii) measurements are performed in an almost homogeneous probed volume. The multiple scattering effect was only checked on SAXS data assuming, as suggested by Anovitz and Cole (2015), the fact that if the transmission is greater than 90 %, the multiple scattering effects remains small.

133 To complete our view on probed volume homogeneity, SAXS measurements were performed on 9 134 and 5 random positions on the 140 µm thick MT-2 sample with a 200 x 200 µm beam size. Results were decomposed as the sum of power law trends as  $A \cdot O^{-\alpha}$ . Then, the A or  $\alpha$  parameters were used to 135 136 define the variability of each parameter. The  $\alpha$  parameter vary within 1% whereas the prefactor vary 137 within less than five percent. The latter is thought to be correlated with concentration, size and contrast 138 of various scatterers. We conclude from the low dispersion of A and  $\alpha$  parameters that with this beam 139 size, the sample phase distribution is almost constant. Moreover, the SAXS intensities measured on 9 140 or 5 random positions were averaged to get a more representative volume to be compared with neutron 141 measurements.

142 As this study is partly focused on the analysis of source rock anisotropy, the samples were cut 143 normal to bedding plane to maximize the occurrence of lamination, as shown in Figure 1. Rocks thin 144 blade sections with a maximum thickness of 200 µm were prepared and stuck to pierced quartz blades 145 with a pinhole of 5.5 mm diameter. This diameter allows to map and to average several SAXS 146 measurements in order to probe about the same volume as SANS ; in this way, the comparison of X-147 ray and neutron scattering intensities are meaningful. Concerning the homogeneous probed volume of 148 samples used in this study, SAXS measurements were performed on five random location for each 149 sample. Obtained scattering intensities were checked and compared to the mean intensity. It reveals no

150 significant variation allowing us to consider that the probed volume display a constant phase 151 distribution.



152 *Figure 1.* Schematic representation of sample preparation with in blue normal to bedding plane and

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### in red the bedding.

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### 156 **2.3. Rock-Eval<sup>®</sup> 6 pyrolysis.**

Geochemical data on organic matter were obtained by Rock-Eval pyrolysis according to the Shale Play method.<sup>31,52</sup> This technique is used to define the TOC content, the organic matter type and the maturity through HI vs Tmax diagram, as shown in *Table 1* and in *Figure 2*.<sup>11,23,24,26,28-31,34,35,53-55</sup> Analysis was performed on 60 mg of dry bulk-rock powder and standard deviation was assessed through the repeatability of five measurements of each sample.

Samples have a TOC content ranging from 4.5 %wt (BSP-2 and BSP-3) up to 9.0 %wt (BSP-4). The *Figure 2* illustrates sample measurements, all of them are consistent with a kerogen of type II.<sup>56,57</sup> These samples follow an increasing depositional depth from BSP-2 (1126 m) to MT-1 (2561 m). BSP samples are mature, as HI range from 146 to 174 mg HC / g TOC and Tmax is close to 445°C. They are in the oil window and the MT-1 sample reach the dry gas window which means that it is overmature (HI = 17 mg HC / g TOC and Tmax = 598°C). As shown by Craddock and coworkers

- 169 (2018),<sup>11</sup> there is a strong correlation between the depositional depth and the maturity of the sample.
- 170 An increase of the maturity along the depth is then expected.



Figure 2. HI as a function of Tmax diagram with delineations 1, 2 and 3 defining the limit of the oil gas window for kerogen of type I, II and III.<sup>54</sup> BSP samples are represented by triangles and MT-1 is
 symbolized by a star.

# 174 *Table 1.* Rock-Eval pyrolysis data obtained within the Shale Play method on the five source rock 175 samples. (a) Data expressed in mg HC/g rock.

E (	D.C	Well	Depth	Maturity	Sh0	Sh1	Sh2	Tmax	HI	TOC
Formation	Keterence		m		(a)	(a)	(a)	(°C)	mg HC / g TOC	wt %
Barnett Shale Play	BSP-2	Mesquite 1	1126.7	Mature	2.36	2.7	7.5	439	168	4.5
	BSP-3	Mesquite 1	1130.5	Mature	2.55	3.5	7.8	438	174	4.5
	BSP-4	Mesquite 1	1142.4	Mature	2.09	3.0	14.5	453	159	9.0
	BSP-5	Mesquite 1	1145.4	Mature	1.57	2.5	11.9	449	146	8.1

Montney- Doig	MT-1	C-30-1 / 94-b-15	2561.8	Overmature	0.09	0.3	0.8	598	17	4.8
	Standard deviation				±0.06	±0.02	±0.04	±0.6	±0.6	±0.01

### 176 **2.4. HeadSpace Gas Chromatography.**

177 To obtain detailed information on Sh0 peak and on the free hydrocarbon composition, samples are 178 characterized by HS-GC. This thermodesorption method is based on a partial pressure equilibrium 179 between the fluid in the source rocks and the vapor outside the rock generated at a given temperature. 180 For that purpose, this technique is only used as qualitative tool in this study on thin rock section 181 samples whom preparations and representations are described in section 2.2. HS-GC measurements 182 were performed on an Agilent 7890B-GC chromatograph equipped with a Headspace Sampler Agilent 183 7697A. Samples were put into a 15mL vial at 80°C between 5 minutes to 7 days. Then, a volume of 184 15 µL is taken from the vapor and injected into the chromatograph. Data on the composition between 185 C<sub>1</sub> and C<sub>11</sub> were acquired using a chromatographic column consisting in a fused-silica capillary coated with Al<sub>2</sub>O<sub>3</sub> of about 30 meters with a flow rate of 1.6.10<sup>-2</sup> mL.s<sup>-1</sup>, an input temperature of 160°C and 186 187 output temperature of 210°C. Data were normalized to the sample weight and compared to a standard (Supelco<sup>©</sup> n°21823895) for the peak indexation. 188

The distribution of *n*-alkane obtained by HS-GC as a function of heating time and retention time is represented in *Figure 3* for the BSP-4 sample cut normal to bedding plan. Based on this sample study, light compounds such as  $C_1$  to  $C_8$  are shown to be preserved during the sample preparation. Results from chromatographs reveal that, at t = 4 min to 10 min, the main contribution to hydrocarbon composition are ascribed to light compounds from  $C_1$  to  $C_5$ . Over several heating times, from 5 minutes to 7 days, the gas distribution remains unchanged with an increasing pressure. This reveals an

195 uncompleted gas release as no partial pressure equilibrium between the vapor outside and the fluid in 196 the rock is reached. One may explain it by the rock composition which contains silicates with Si-OH 197 groups and also illite and feldspar with Al<sub>2</sub>O<sub>3</sub> groups which act as retention sites. Such functional 198 groups are classically used in chromatographic fused-silica column as adsorption surfaces. Thus, a 199 chromatographic effect can be observed in the rock as adsorption/desorption can occur on functional 200 groups leading to an uncomplete desorption within the heating time used. Anyway, this suggests that 201 the diffusion through the sample is low which is consistent with a low permeability as source rocks porous media display connected and disconnected pore spaces.<sup>7,27,34,55,58-61</sup> Measurements on parallel 202 203 and normal cut to bedding plane were also performed, for heating times ranging from 5 to 480 204 minutes. Results, shown in supplementary information, reveal that desorption rate, at a given time, is 205 always lower for parallel cuts to bedding plane than for normal ones.



Figure 3. Chromatographs of the BSP-4 sample, cut normal to bedding plan, over several heating
 times, from 5 minutes to 7 days, in an oven at 80°C.

### 208 **2.5. XRD Measurements.**

209 The mineral composition was determined using a PANalytical X'pert Pro diffractometer using a 210 detector X'celerator and equipped with a Copper X-ray tube (Cu-K $\alpha$  = 1.5418 Å). Data were acquired 211 on disoriented powder samples and according to the following analytical conditions: 45 kV, 40 mA, angular sector from 2 to 80 °20. Then, minerals quantification in weight percent, was achieved by
Rietveld<sup>62</sup> analysis using the software X'pert High Score Plus.

The mineralogical composition of the five studied source rocks is given in *Table 2*. The mineral content in weight percent, is then converted in volume percent for the SLD calculation. The major mineral phase encountered in BSP and MT samples are silicates *lato sensu* with quartz as main mineral (> 30 vol %) followed by illite (4 to 20 vol %) and muscovite (15 to 28 vol%). The second contribution to mineral phase is carbonate minerals with 4 to 27 vol %. Then, pyrite content varies from almost 1 vol % to 2.5 vol %. This mineral composition agrees with other studies on the same geological formations.<sup>56,63</sup>

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Table 2. Normalized mineral compositions of BSP and MT samples converted in volume percent (vol
%) using the mineral density (a) defined in the database www.webmineral.com.

DI	Density	BSP-2	BSP-3	BSP-4	BSP-5	MT-1
Phase	(g/cm <sup>3</sup> )	(vol %)				
Quartz	2.65 <sup>(a)</sup>	41.9	41.7	30.1	31.6	36.4
Albite	2.62 <sup>(a)</sup>	12.0	9.0	15.4	12.5	6.5
Muscovite	2.90 <sup>(a)</sup>	15.6	13.7	28.0	22.5	17.9
Illite	2.75 <sup>(a)</sup>	12.4	14.8	19.8	12.8	4.1
Calcite	2.71 <sup>(a)</sup>	8.5	12.7	-	17.4	8.6
Dolomite	2.87 <sup>(a)</sup>	8.5	7.1	-	1.4	18.3
Apatite	3.19 <sup>(a)</sup>	-	-	4.3	-	-
Pyrite	4.93 <sup>(a)</sup>	1.1	0.9	2.3	1.8	2.5

224

225 **2.6. Field-Emission Scanning Electron Microscopy.** 

226 Visual information on phase distribution is required to determine the occurrence of scattering 227 contrast. For this reason, FESEM was used in order to acquire images on rock structures and organo-228 mineral phases distribution at a large scale (from hundreds of microns to dozens of nanometers). 229 Observations on normal cut to bedding plane samples were made at 12 nm/pxl. Experiments were 230 carried out on a FESEM Nova NanoSEM 450 on cryogenic argon ion polished sample. Images were 231 acquired with a working distance ranging from 5.2 to 5.5 mm and a probe current of 2.5 KV. 232 The FESEM images of rock structures and phase distributions are shown in *Figure 4*. Four Fields of 233 View (FOV), from 51 µm down to 2,5 µm, were observed to account for the orientation of micas and 234 clay minerals, the pores in mineral matrix and the pore within the kerogen phase. Five types of 235 structure are identified: 236 Pyrite, crystallizing in a cubic system as framboidal and cubic shapes, have a high absorption 237 coefficient leading to white objects on FESEM images,

Dark grey aggregates account for the organic matter as this material is composed of light
 elements with a low absorption coefficient,

- Dark grey level is assigned to pores in both organic and inorganic phases,

Subangular minerals, with a light grey level between the organic matter and pyrite minerals, are
 attributed to quartz,

Feldspars, with the same grey level as quartz, exhibits an angular shape allowing their
 identifications,

Carbonaceous minerals, similar to feldspars in shape, have a higher density. These minerals have
 also an angular shape but some intra-granular pores can be encountered owing to liquid
 dissolution phenomenon which is used for the identification,

- Macroscopic elongated minerals, larger than two microns, are micas,

Fine elongated minerals, lower than two microns, are consistent with clayey mineral such as
illite.

Figure 4(a), Figure 4(b) and Figure 4(c) display macroscopic observations (FOV = 51  $\mu$ m and 13  $\mu$ m) on the BSP-4 (a and b) and BSP-5 (c) samples depicting a preferential orientation of micas and clay minerals. Such features exist at a lower scale (FOV = 2,5  $\mu$ m) as well as in *Figure 4(d)* coming from BSP-5 sample. Moreover, *Figure 4(c)* highlights that isolated porosity exists in the minerals and is mostly widespread in carbonaceous phases. Comparing *Figure 4(c)* and *Figure 4(d)*, shows that pores in minerals are mainly macropores with a lower amount of mesoporosity while mesopores are the major porosity in organic matter.

258



- *Figure 4.* FESEM observations performed on BSP-4 (a and b) and BSP-5 sample (c and d) with
- *different fields of view, (a) 51 µm, (b) and (c) 13 µm and (d) 2,5 µm, to depict the phase distribution.*
- 261 The delimited area in (c) represented the field of view observed in (d).

### 262

### 2.7. Scattering experiments

263 2.7.1. SAXS and WAXS measurements. SAXS instrument is equipped with a Cu rotating anode (Rigaku MM07, at the wavelength of  $\lambda = 1.5418$  Å) and a 2D detector (Rigaku). The sample to 264 265 detector distance is about 73.6 cm and allows the measurement of a scattering vector Q ranging from 0.03 Å<sup>-1</sup> up to 0.6 Å<sup>-1</sup>. The instrument is also equipped with a WAXS 2D detector and enlarges the 266 studied area until 1.6 Å<sup>-1</sup>. The experimental setup is illustrated *Figure 5*. The scattering vector Q can 267 268 be seen as an inverse correlated length scale in the reciprocal space and is defined as  $Q = 4\pi . sin\theta / \lambda$ 269 with  $2\theta$  being the scattering angle. In the case of source rocks, WAXS measurements allows the identification of the 001 Bragg peaks of illite located at  $d_{001} = 2\pi/Q = 10.0$  Å. SAXS/WAXS data 270 271 of each sample were corrected to their transmission, their thickness, the empty beam signal and 272 normalized to a lupolen standard. The scattering intensity obtained on WAXS data are rescaled to the scattering intensity of SAXS data. Thus, the scattering intensity is expressed in absolute unit,  $cm^{-1}$  for 273 274 both techniques. The presence of a continuous and flat background on WAXS data at high Q values 275 indicates the occurrence of a signal distributed over  $4\pi$  which doesn't depend on Q vector. Two 276 phenomena can contribute to the background at high O values : (i) mineral in homogeneities at sub 277 nanometer length scale giving rise to scattering intensities with small Q dependence, and (ii) 278 fluorescence, since the Cu-Ka energy (8.04 KeV) and Fe K-edge energy (7.11 KeV) are close and lead 279 to a constant scattering, independent of the Q vector. As WAXS data allow to observe a very constant 280 background over high Q values, this phenomenon is attributed to the fluorescence of the iron 281 contained in pyrite which needs to be subtracted, as shown in *Figure 6(a)*.



Figure 5. Schematic representation of SAXS/WAXS configuration with respect to the laboratory frame
 (x,y,z).

284 2.7.2. SANS measurements. SANS experiments were carried out on the PA20 spectrometer at 285 Laboratoire Léon Brillouin (CEA/CNRS) at Saclay, France. Three different configurations ((i)  $\lambda = 3.8$ Å/sample-to-detector distance (D) = 1.1 m, (ii)  $\lambda = 6$  Å/D = 8 m and (iii)  $\lambda = 15$  Å/D = 17.5 m), gives a 286 total *O*-range from  $9.1.10^{-4}$  Å<sup>-1</sup> to  $5.1.10^{-1}$  Å<sup>-1</sup>. To be compared with SAXS/WAXS data, it is 287 288 mandatory to express the SANS intensity in absolute units. For that purpose, SANS measurements of 289 each sample were also corrected by their transmission, their thickness, the detector pixel efficiency 290 and normalized to the incident beam. Assuming that the almost flat background at high Q values is 291 related to the incoherent scattering of the hydrogen contained in the sample, the mean intensity value of the last Q values (0.45 to 0.51 Å<sup>-1</sup>) was assessed and subtracted, as shown in Figure 6(b). The 292 293 validity of this assumption will be discussed in the result section.



**Figure 6.** (a) Example of fluorescence subtraction on BSP-4\_ $\varphi_2$  SAXS data. (b)Example of incoherent subtraction on BSP-4\_ $\varphi_2$  SANS data. Both scattering profiles are displayed as a function of two contributions, log normal (dark green dash lines) and  $A.Q^{-\alpha}$  (orange dash lines).

297

298 2.7.3. Initial scattering profiles treatment. Two types of data integrations were performed on 299 scattering 2D anisotropic pattern, as shown in Figure 7. As defined earlier, studied source rocks are 300 laminated rocks. It is then possible to measure a 2D scattering pattern being anisotropic. Consequently, 301 radial average of 2D pattern was realized using two angular sectors as illustrated in Figure 7(a). The 302 first sector  $\varphi_1$  is defined by the angle  $\varphi = 90^\circ$  along the elongated part of the anisotropic pattern with an aperture angle of  $\Delta \varphi = 60^{\circ}$ . The other one  $\varphi_2$  is normal to the angle  $\varphi_1$  as  $\varphi_1 + 90^{\circ}$  and  $\Delta \varphi = 60^{\circ}$ . 303 304 Obtained scattering curves, displayed in Figure 7(c), exhibit the scattering intensity as a function of 305 the wave vector Q.

The anisotropic 2D scattering patterns suggest that in the system some scatterers are preferentially oriented along one direction. Thus, scattering patterns were also azimuthally averaged according to two distinct regions, as shown in *Figure 7(b)*. The first one,  $S_I$ , is at low Q values,  $5 \cdot 10^{-2} < Q < 0.2$  Å<sup>-1</sup>, and the second region,  $S_2$ , is in high Q-region, 0.34 < Q < 0.5 Å<sup>-1</sup>. From the 1D curve, illustrated in *Figure 7(d)*, the variation of the scattering intensity is plotted as a function of the azimuthal angle  $\varphi$ from 0° to 360° with an aperture step angle of 5°. As particles are oriented, the scattering intensity 312 gives information on the main orientation of particles and on the sample structure. The order 313 parameter S – denoted sometimes the Herman's parameter - quantifies the material anisotropy and is 314 used frequently in nematic crystal and clays domains.<sup>64-67</sup>



Figure 7. 2D pattern area of interest according to radial (a) and azimuthal (b) average and their
 scattering intensities (c) and (d).

317

318 One popular way to fit anisotropic SAXS data is to use, for a small Q range, the Maier-Saupe 319 function<sup>68,69</sup> defined as :

$$f(\varphi) = A + B \cdot exp(m \cdot \cos^2((\varphi - \psi) * \left(\frac{\pi}{180}\right)))$$
(2)

320

Where *A* is the flat background, *B* is a multiplier coefficient, *m* accounts for the particle orientation,  $\varphi$  is the azimuthal angle and  $\psi$  is the maximum of intensity. Then, the Maier-Saupe data are normalized to their area, to compare results obtained for each sample, according to :

$$A = \int_0^{\pi} f(\theta) . \sin(\theta) \, d\theta \tag{3}$$

324

325 and

 $f(\theta)_{norm} = \frac{f(\theta)}{2\pi . A}$ (4)

326

327 With  $\theta$  the normal to the angle between the particle and the laboratory frame *z* axis.

328 Afterward, the order parameter *S* is expressed as the mean of a second-order Legendre polynomial :

$$S = \langle \frac{3.\cos^2(\theta) - 1}{2} \rangle \tag{5}$$

329

And integrated over the whole solid angle  $\sin(\theta) \cdot d\theta \cdot d\varphi$ :

$$S = \int_0^{2\pi} d\varphi \int_0^{\pi} f(\theta)_{norm} \cdot \frac{3 \cdot \cos^2(\theta) - 1}{2} \cdot \sin(\theta) \, d\theta$$
(6)

331

The order parameter values range from 0, isotropic media, to 1, perfectly oriented particles as
 columnar or smectitic crystal-liquid phases.<sup>66</sup>

334

335 3. RESULTS

### 336 **3.1. Frame of Scattering modeling**

Scattering intensities being related to the so called Scattering Length Densities (SLD) fluctuations,
we first examined what are SLD in source rocks for both neutron and X-ray and secondly how SLD
may be taken into account in intensities modeling.

- 340 *3.1.1. Scattering Length Densities*
- The SLD of a component or a phase is related to its elemental composition and to the type of radiation used. For each component, SLD is calculated according to the formula:<sup>20,21,27,28,70</sup>

 $\rho = \frac{1}{V} \sum_{i} n_i b_i$ 

343

Where *V* is a volume representative of the component,  $n_i$  the number of atoms of type *i* contained in the volume *V* and  $b_i$  the atomic scattering length. The latter are tabulated for neutrons in the NIST Database (<u>https://www.ncnr.nist.gov/resources/n-lengths/</u>), whereas they are estimated for X-ray according to  $b_i = 0.282.10^{-12} Z_i$  (cm) where  $Z_i$  is the atomic number of atoms of type *i*. For a mixture of components, the mean SLD,  $\langle \rho \rangle$ , for both radiation beam is established according to:

$$\langle \rho \rangle = \sum_{j} \phi_{j} \rho_{j}$$

349

350 With  $\sum_j \phi_j = 1$ ,  $\phi_j$  the volume fraction of the component *j* and  $\rho_j$  the SLD of the component *j*.

351 From FESEM observations, three different phases are observed : mineral, kerogen and pores.

- 352 *Minerals*:
- 353 The SLD of the main minerals in our samples are reported on *Table 3*.

(7)

(8)

		Donsity <sup>(a)</sup>	SI	LD
Phase	Chemical formula <sup>(a)</sup>	(g/cm <sup>3</sup> )	<b>X-rays</b> $(x \ 10^{10} \text{ cm}^{-2})$	Neutrons $(x \ 10^{10} \text{ cm}^{-2})$
Quartz	SiO <sub>2</sub>	2.65	22.5	4.2
Albite	NaAlSi <sub>3</sub> O <sub>8</sub>	2.62	22.1	4.0
Muscovite	$KAl_2(AlSi_3O_{10})(OH)_2$	2.90	24.5	3.9
Illite	K(Al,Fe,Mg) <sub>2</sub> (Si,Al) <sub>4</sub> O <sub>10</sub> (OH) <sub>2</sub>	2.75	23.1	3.7
Calcite	CaCO <sub>3</sub>	2.71	23.0	4.6
Dolomite	CaMg(CO <sub>3</sub> ) <sub>2</sub>	2.87	24.3	5.4
Apatite	Ca <sub>5</sub> (PO <sub>4</sub> ) <sub>3</sub> (OH,F,Cl)	3.19	26.9	4.1
Pyrite	FeS <sub>2</sub>	4.93	40.5	3.7

355

356

For neutrons, minerals SLD are close to each other (~  $4.10^{10}$  cm<sup>-2</sup>) which allows to consider 357 358 minerals as a homogeneous phase. The corresponding mean value is easily assessed using Equation 8 359 with volume fraction of each mineral determined by XRD. For X-rays, most of the minerals have a SLD value close to 24.10<sup>10</sup> cm<sup>-2</sup>, except pyrite. Thus, X-ray perceives the minerals as two distinct 360 361 phases since differences in SLD give rise to a contrast.

362 Kerogen:

Kerogen in analogous materials such as coal,<sup>7</sup> is described as a homogeneous phase where its SLD 363 is similar whatever the macerals type. 364

365 Since our sample selection was designed to obtain a continuous sequence of increasing maturity, it is 366 mandatory to consider the effect of maturity on kerogen SLD. A recent study on kerogen in source rocks,<sup>71</sup> show that neutron kerogen SLD vary from 1.10<sup>10</sup> cm<sup>-2</sup> for immature kerogen up to 4.10<sup>10</sup> cm<sup>-2</sup> 367 368 for the overmature one. Elemental compositions and densities of gas shale from various maturities and after acid attack, given by Thomas and coworkers (2014),<sup>71</sup> were used here to assess neutron and Xray SLD as well as mass densities of kerogens. Thus, we assumed that all the Fe content in attack acid residues is only due to pyrite FeS<sub>2</sub>. The pyrite contribution, including the sulfur one, has been subtracted to obtain elemental composition and densities of model kerogens containing C, H, O and N atoms. X-ray and neutron SLD were assessed using Equation 7. Results are plotted on *Figure 8* as a function of kerogen densities. Indeed, kerogen density vary in the same way as vitrinite reflectance and could be used as a maturity index.



Figure 8. X-ray and neutron kerogen SLD as a function of kerogen densities based on data from
 Thomas et al., 2014.<sup>71</sup> X-ray linear regression (black curve) and neutron linear regression (red curve)
 for kerogen SLD estimations.

379 Linear regressions were performed on kerogen X-ray and neutron SLD. From these linear 380 regressions,  $\rho_{KN}$  and  $\rho_{KX}$  can be expressed as :

$$\boldsymbol{\rho}_{KN} = 4.5.\,10^{10}.\,d - 4.1.\,10^{10} \tag{9}$$

381

382 and  $\rho_{KX} = 7.7.10^{10}.d + 1.7.10^{10}$ 

383 with  $\rho$  in cm<sup>-2</sup> and d in g/cm<sup>3</sup>.

384

23

(10)

### 385 *Pore space* :

For this study, four model fluids are considered : air, methane in gaseous form, toluene and pentane.
SLD values are listed in *Table 4* below.

Model fluids	Neutrons SLD	X-Ray SLD
	$(x \ 10^{10} \ \mathrm{cm}^{-2})$	$(x \ 10^{10} \ \mathrm{cm}^{-2})$
Toluene	0.9	8.0
Pentane	-0.6	6.2
Methane(g)	0.0	0.0
Air	0.0	0.0

Table 4. Model Fluids SLD calculated from equation 7.

388

Pores can be fulfilled by gaseous species and/or by condensed hydrocarbons. If the pore space is fulfilled by a gas, its SLD for both X-ray and neutron is close to 0. If condensed fluids are in the pore space, it is then assumed that neutron SLD is almost null as condensed fluids have a SLD value ranging from  $1.10^{10}$  cm<sup>-2</sup>, for aromatic fluids, to  $-0.5 \ 10^{10}$  cm<sup>-2</sup> for aliphatic fluids. For X-ray, pore space SLD will be close to  $8.10^{10}$  cm<sup>-2</sup> for aromatics fluids and around  $6.10^{10}$  cm<sup>-2</sup> for aliphatic fluids.

394

From these different SLD values, it appears that since neutron overmature kerogen and minerals SLD values are close, neutron scattering acquired on overmature gas shales could be interpreted in the frame of a two-phases system. As shown in *Figure 8*, for immature and mature kerogen, SLD values are much lower than the SLD of the mineral phase. Consequently, the two-phase system, which is classically used to described source rock scattering data, is less relevant than a threephases one. Concerning the nature of pore content, it is clear that neutron cannot distinguish gas from hydrocarbons whereas X-ray can. For X-ray SLD values, four phases have different SLD : pyrite, 402 others minerals, kerogen and pore space. From XRD measurements, pyrite content is low, less than 2.5 403 vol %, in the studied source rocks. Moreover, FESEM observations reveals that a large part of pyrite is 404 present as grains with sizes higher than 1  $\mu$ m. These grains will contribute to X-ray scattering in a *Q* 405 range that is not probed by our instrument. Firstly, pyrite is therefore neglected and its contribution 406 will be discussed after data modeling. Hence, for both types of radiation, at least three SLD values has 407 to be considered rather than a two-phase approximation.

408

3.1.2. Scattering intensity. As shown in FESEM observations, samples are described as a four phases system : pyrite, other minerals, kerogen and pores. Even if pyrite is discarded, we will distinguish for scattering four phases, namely minerals, kerogen, pores included in kerogen and pores in minerals. For that purpose, source rocks system can be defined as follows:

$$\sum_{i=1}^{4} H_i(\vec{r}) = H_M(\vec{r}) + H_K(\vec{r}) + H_P(\vec{r}) + H_{P'}(\vec{r}) = 1$$
(11)

413

414 Where  $H_M(\vec{r})$ ,  $H_K(\vec{r})$ ,  $H_P(\vec{r})$  and  $H_{P'}(\vec{r})$  are respectively, the characteristic functions of the 415 minerals, the kerogen, the pores contained in the kerogen and the pores in minerals. From previous 416 equation, the amplitude A(Q) of neutrons or X-ray can be written as :

$$A(Q) = \int_{V} \left[ \sum_{i=1}^{4} \rho_{i} H_{i}(\vec{r}) \right] e^{-iqr}$$
(12)

417

418 Since  $\sum_{i=1}^{4} H_i(\vec{r}) = 1$  and fluctuations of SLD occur, an arbitrary SLD reference can be chosen to 419 determine  $\rho_i H_i(\vec{r})$  in regard to the phase of interest, the kerogen, as :

$$\sum_{i=1}^{4} \rho_{i} H_{i}(\vec{r}) = (\rho_{M} - \rho_{K}) H_{M}(\vec{r}) + (\rho_{P} - \rho_{K}) H_{P}(\vec{r}) + (\rho_{P'} - \rho_{K}) H_{P'}(\vec{r}) + \rho_{K}$$

420

423

421 A(Q) can be rewritten according to the phase of interest through the Fourier transform. We note that 422 the Fourier transform of  $\rho_K$  is a dirac centered at Q = 0 and do not appear in the amplitude expression:

$$A(\boldsymbol{Q}) = (\boldsymbol{\rho}_{\boldsymbol{M}} - \boldsymbol{\rho}_{\boldsymbol{K}})\widetilde{H}_{\boldsymbol{M}}(\boldsymbol{R}) + (\boldsymbol{\rho}_{\boldsymbol{P}} - \boldsymbol{\rho}_{\boldsymbol{K}})\widetilde{H}_{\boldsymbol{P}}(\boldsymbol{R}) + (\boldsymbol{\rho}_{\boldsymbol{P}'} - \boldsymbol{\rho}_{\boldsymbol{K}})\widetilde{H}_{\boldsymbol{P}'}(\boldsymbol{R})$$
(14)

From A(Q), the scattering intensity is expressed according to  $I(Q) = A(Q)A(Q)^{*}$ .<sup>74</sup> The crossed terms are considered negligible owing to FESEM observations revealing no correlation between the kerogen and the pores contained in the mineral matrix. Arthur and Cole (2014) have shown by a FESEM/FIBSEM dual beam analysis that the mesopores are mainly contained in the organic matter. In addition, Bazilevskaya and coworkers (2015) demonstrated that about 50% of the porosity cannot be observed by direct space analysis and may be contained in the organic matter or the interlayer of clayey minerals. Thus, the scattering intensity is expressed as :

431 
$$I(\boldsymbol{Q}) = (\boldsymbol{\rho}_{\boldsymbol{M}} - \boldsymbol{\rho}_{\boldsymbol{K}})^{2} \widetilde{H}_{\boldsymbol{M}}(\boldsymbol{Q}) \widetilde{H}_{\boldsymbol{M}}^{*}(\boldsymbol{Q}) + (\boldsymbol{\rho}_{\boldsymbol{P}'} - \boldsymbol{\rho}_{\boldsymbol{K}})^{2} \widetilde{H}_{\boldsymbol{P}'}(\boldsymbol{Q}) \widetilde{H}_{\boldsymbol{P}'}^{*}(\boldsymbol{Q}) + (\boldsymbol{\rho}_{\boldsymbol{p}} - \boldsymbol{\rho}_{\boldsymbol{K}})^{2} \widetilde{H}_{\boldsymbol{P}}(\boldsymbol{Q}) \widetilde{H}_{\boldsymbol{P}}^{*}(\boldsymbol{Q})$$
432

433

434 At this point, the scattering intensity as illustrated in *Figure 6* can be described as the sum of three

435 contributions depending on a four phase system which are defined using three terms of contrast.

*The first contribution* is related to the contrast between mineral and kerogen phases. Based on FESEM observations, kerogen is in the form of micrometric to nanometric domains and its volume fraction, related to the TOC content, is few percent. A strong scattering intensity at small Q values is then expected for this contribution. Indeed, at low Q values, the curve trend appears to be a power law which can be expressed as  $A.Q^{-\alpha}$ , with  $\alpha$  close to 3, A being a prefactor explicited later. In source and sedimentary rocks studies, the  $\alpha$  exponent is sometimes related to a surface fractal dimension Ds by  $\alpha = 6 - Ds$  where Ds is restricted to  $2 < Ds \le 3.^{75-77}$  Schmidt (1982),<sup>78</sup> shows that the  $\alpha$  exponent

(15)

(13)

443 could be also related to power law size distribution  $f(R) \sim R^{-(1-D_S)}$ . Whatever the physical meaning of 444 power law intensity dependence, we will consider  $\alpha$  as a fitting parameter and concentrate on the 445 prefactor *A* that could be expressed as the product of squared contrast factor  $(\rho_M - \rho_K)^2$  by a factor *a* 446 related to the structural arrangement of kerogen in the mineral matrix. Hence, the first term of 447 Equation 15 would be  $a(\rho_M - \rho_K)^2 Q^{-\alpha}$ 

*The second contribution*, as highlighted by the FESEM characterizations, is related to pores in mineral phases, which are mainly macropores diluted within the mineral matrix. The mineral/pores interface seems to be smooth and their sizes vary from macrofractures to macropores. Consequently, their contribution likely follows a Porod behavior ( $I(Q) \sim Q^{-4}$ ) which starts at lower Q values and strongly decreases toward higher Q values. At least, this means that this contribution to I(Q) is negligible in the high Q domain probed.

454 The last contribution is related to the distribution of micropores in the kerogen matrix. As pores 455 shape and size couldn't be well defined, owing to limitations (resolution and/or statistical 456 representativeness), by direct space analysis such as FESEM or transmission electron microscopy, it is 457 assumed that pores have a variety of shape and size as source rocks are natural materials. Both 458 assumptions lead to a smoothing of the scattering curve compared to unique size and shape pores and 459 make difficult to deconvolute both effects. In this study, we fixed the shape of the considered objects 460 as spherical for sake of simplicity and assumed that size are distributed. This assumption preserve the 461 physical meaning of the average size of the pores and it associated volume fraction. In source rocks the pore size distribution is often considered as peaked like in gas adsorption/desorption<sup>17,27,28,41</sup> or 462 NMR<sup>34,46</sup> analysis. The  $\widetilde{H_P}(Q)\widetilde{H}_P^*(Q)$  term is expressed as  $n \int_{R_{min}}^{R_{max}} f_P(R) V_P^2(R) P(Q,R) dR$  - a diluted 463 dispersion of pores - where n is the number density of pores,  $V_p(R)$  stand for the volume of pore of size 464

465 *R*, P(Q,R) is the form factor of the pores (P(0,R) = 1) chosen here as spherical, and  $f_P(R)$  the 466 normalized number size distribution function chosen here for convenience as log normal :

$$f_P(R) = \frac{1}{\sigma\sqrt{2\pi}} \exp(-\frac{\ln(R/\mu)^2}{2\sigma^2})$$
(16)

467

468 Where  $\mu$  the mean value of sphere radius and  $\sigma$  the size polydispersity.

Accordingly, for the scattering vector range measured in this study, a three-phase system with two main contributions, is defined and expressed, for X-rays (X) and neutrons (N) as :

$$I(Q)_{X,N} = a(\rho_M - \rho_K)_{X,N}^2 Q^{-\alpha} + n(\rho_P - \rho_K)_{X,N}^2 \int_{R_{min}}^{R_{max}} f_P(R) V_P^2(R) P(Q,R) dR$$
(18)

471

In this equation, parameters giving a direct influence on the intensity Q dependence, namely  $\alpha$ ,  $\sigma$ and  $\mu$ , should be retrieved separately by fitting procedures. They provides details on each contribution prevailing in different Q domains. By contrast, only global prefactors  $A = a(\rho_M - \rho_K)^2$  and N = $n(\rho_P - \rho_K)^2$  that adjust the amplitude of each Q dependence, will be extracted from the modeling.

476

### **3.2. Anisotropy**

First, we consider the I(Q) behavior for the two azimuthal sectors  $\varphi_1$  and  $\varphi_2$  according to *Figure* 7(a). Both scattering techniques results are illustrated in *Figure 9* for SANS and in *Figure 10* for SAXS/WAXS. It is noted that the MT-1 sample gives isotropic 2D pattern. As detailed in the literature, scattering data on source rocks follow at small Q values an algebraic trend assimilated to  $A.Q^{-\alpha}$ .<sup>8,20-23,27,35,71,79,80</sup> In both techniques, the  $\alpha$  parameter, reported in *Table 6*, have similar values close to 3 and the intensity ratio between  $\varphi_1$  and  $\varphi_2$  remains constant until a breakdown around 0.03 Å<sup>-</sup> <sup>1</sup> in SANS and 0.1 Å<sup>-1</sup> in SAXS/WAXS. For larger Q values the scattering intensity is quite similar whatever the azimuthal sector. This behavior is ascribed to :

486 - anisotropic scattering at small *Q* values likely due to preferential orientation of low
487 dimensionality particles such as clays and micas.

488 - isotropic scattering at large Q values reminiscent of spherical nanopores located in the kerogen

489 A further confirmation of this attribution is shown in supplementary information where the high Q490 intensity signal is ranked as the one of TOC content.



491 *Figure 9.* (a) Samples SANS profiles according to the azimuthal area of interest. Full dots represent

492 data obtained for  $\varphi_1 = 0^\circ$  and empty dots are data coming from  $\varphi_2 = 90^\circ$ . (b) Zoom on SANS profiles

493 to match the Q-range of SAXS/WAXS data. In both graphs, SANS profiles of MT-1, BSP-2, BSP-5 and

494 *BSP-4 are scaled vertically according to the scale factors* 0.01, 0.1, 10 and 100, respectively.



495 **Figure 10.** (a) Samples SAXS/WAXS profiles according to the azimuthal area of interest. Full dots 496 represent data obtained for  $\varphi_1 = 0^\circ$  and empty dots are data coming from  $\varphi_2 = 90^\circ$ . SAXS/WAXS 497 profiles of MT-1, BSP-2, BSP-5 and BSP-4 are scaled vertically according to the scale factors 0.003, 498 0.05, 15 and 300, respectively.

499 Secondly, we consider the  $I(\varphi)$  behavior in the two Q ranges S1 and S2 according to Figure 7(b). 500 Samples data (dots) and fitting curves (lines) to the Maier-Saupe function (Equation 1) are illustrated 501 in Figure 11(a) for low Q-region and Figure 11(b) for high Q values. At first sight, from MT-1 to 502 BSP-4 samples an increasing intensity and sharpness of the distribution along the azimuthal angle is 503 observed in both regions. Three groups are distinguished, the MT-1 isotropic sample with a flat 504 intensity, the BSP-2/BSP-3 group exhibiting a broad distribution and the BSP-4/BSP-5 which have the 505 highest and sharper distribution. In the Figure 11(b), as the BSP-5 sample does not possess chlorite in 506 SAXS profile, its intensity along the azimuthal angle is smaller than for BSP-4.



507 Figure 11. SAXS azimuthal integration profiles of BSP and MT samples within (a) low Q-region 508  $(Q = 5.10^{-2} \text{ to } 0.2 \text{ Å}^{-1})$  and (b) high Q-region  $(Q = 0.34 \text{ to } 0.5 \text{ Å}^{-1})$ . Integrated azimuthal angle regions 509 from profiles decomposition are illustrated by the light blue and light grey areas respectively for  $\varphi_1$ 510 and for  $\varphi_2$ .

511 As shown in *Table 5*, it is possible from these data, to apply the Maier-Saupe fitting function to 512 extract A, B, m and  $\psi$  parameters and then to calculate the order parameter S. Values of  $\psi$  are close to 513 90° suggesting that anisotropic particles align preferentially with their long axis parallel to the bedding 514 plane. Order parameters for BSP samples at low Q values are ranking from 0.13 and 0.14, for BSP-3 515 and BSP-2, up to 0.21 and 0.26, for BSP-5 and BSP-4. Whereas, in the high Q-region, this parameter 516 is quite low, barely reaching 0.09 at its maximum for BSP-4. BSP-2 and BSP-3 exhibit the lowest 517 values with S = 0.06. Then, BSP-5 have an order parameter of 0.08. This seems to indicate that this 518 part of the signal is less anisotropic and is dominated by an isotropic pattern. For MT-1, as its 2D 519 scattering pattern is isotropic, S parameters for both Q regions is equal to 0.

520

522

Reference	L	ow Q regio	on : 5.10 <sup>-</sup>	<sup>2</sup> to 0.2 Å	-1	High Q region : 0.34 to 0.5 Å <sup>-1</sup>				
	А	В	m	$\psi$	S	А	В	m	ψ	S
BSP-2	418.6	168.8	2.0	92	0.14	28.6	4.4	1.8	91	0.06
BSP-3	324.8	181.1	1.6	94	0.13	22.6	5.6	1.4	95	0.06
BSP-4	304.1	96.6	3.1	91	0.26	32.3	1.1	3.6	90	0.09
BSP-5	342.1	177.1	2.4	87	0.21	28.9	2.5	2.5	87	0.08
MT-1	423.4	0.0	0.0	0.0	0.0	24.7	0.0	0.0	0	0.0

2 parameter for low Q-region ( $Q = 5.10^{-2}$  to  $0.2 \text{ Å}^{-1}$ ) and high Q-region (Q = 0.34 to  $0.5 \text{ Å}^{-1}$ ).

523

524 The separation of the global signal into an anisotropic and an isotropic contribution allows to specify 525 a more accurate expression for *Q* dependence of intensity :

526 
$$I(Q)_{X,N} = a(\varphi)(\rho_M - \rho_K)_{X,N}^2 Q^{-\alpha} + n(\rho_P - \rho_K)_{X,N}^2 \int_{R_{min}}^{R_{max}} f_P(R) V_P^2(R) P(Q,R) dR$$

527

528 where the prefactor *a* has been substituted by  $a(\varphi)$ .

529

### 530 **3.3. Multiscale structure.**

We took advantage of anisotropic scattering to consider, in the fitting procedure based on equation 19, the data acquired in azimuthal sector  $\varphi_2$ . Indeed, for this sector, the scattering intensity from the first term of equation 19 is minimized and allows to better evaluate the second term contribution that remain moderate. This strategy could be optimized by considering a much smaller angular sector  $\Delta \varphi_2$ but it would require much larger acquisition time. Hence, SANS and SAXS/WAXS " $\varphi_2$ " data related to the five source rocks were fitted to equation 19 by using *SASFit* software.<sup>81</sup> Results represented in *Figure 12(a)* for SANS data and in *Figure 12(b)* for SAXS/WAXS measurements shows a good

(19)

agreement between model and data for the both radiation on the whole *Q* range. Geometrical parameters ( $\alpha$ ,  $\mu$  and  $\sigma$ ), reported in *Table 6*, are first assessed by fitting SANS data as the Q dependence of these latter are more pronounced than for SAXS data. Then, modelling was performed based on a simultaneous scattering fit of SAXS/SANS data to obtain the pre-factors  $a(\rho_M - \rho_K)^2$  and  $n(\rho_P - \rho_K)^2$ , containing the SLD of each phase and depending on the type of radiation.

Results obtained for geometrical parameters indicate that both SANS and SAXS/WAXS scattering intensity can be described as the sum of two types of contributions using the same parameters giving the *Q* dependence, namely  $\alpha$ ,  $\mu$  and  $\sigma$ . These results also validate the SANS incoherent scattering background subtraction based on mean value measured at high Q values.

547 The  $\alpha$  parameters, that are close to each other (3.1 – 3.25) for BSP samples and slightly higher (3.4) for MT1, are in the range of reported values.<sup>8,10,14,20,23,27,28,35,39,79</sup> The widths  $\sigma$  of nanopore size 548 549 distributions are quite narrow, always close to 0.2 and the fitted parameters given here are obtained 550 with values of  $\sigma$  set to 0.2 for a better visibility. The mean radius of nanopores is close to 0.5 nm, a value commonly found for this type of material.<sup>20,79</sup> For the overmature sample, the curves at high O551 552 values show clearly two separate bumps assigned here to two pore size distributions. The first one has 553 a mean radius of 0.5 nm in the same range as the mature samples and the second one has much higher 554 values close to 3.5 nm. In addition, it appears that SAXS techniques can also provide information on 555 porous media in the kerogen phase as both SANS and SAXS/WAXS profiles are in good agreement 556 using pore size define from SANS modeling. From fitting data, BSP samples are described as source 557 rocks with pore radii varying from 0.5, for BSP-3, BSP-4 and BSP-5, up to 0.7 nm, for BSP-5. MT-1 558 sample displays two pore radii 0.5 nm and 3.5 nm. In addition, fit sensitivity on the pore size radius 559 was tested as shown in supplementary information. It shows that radii nanopore measurements are sensitive within  $\sim 1-2$  Å range. 560



568 *Table 6.* Fitting parameters of SANS and SAXS data obtained for the α parameter and the term of

569 contrast  $A = a(\varphi)(\rho_M - \rho_K)_N^2$  related to the  $A.Q^{-\alpha}$  contribution and also the radius ( $\mu$ ) and the term of

contrast  $n(\rho_P - \rho_K)_N^2$  related to the log normal contribution.

Df		mu	Neutr	ons	X-rays		
Reference	α	(nm)	$a(\varphi)(\rho_M-\rho_K)_N^2$	$n(\rho_P-\rho_K)_N^2$	$a(\varphi)(\rho_M-\rho_K)_N^2$	$n(\rho_P-\rho_K)_N^2$	
BSP-2	3.20	0.7	4.4	0.6	4.9	0.6	
BSP-3	3.25	0.5	3.4	4.4	4.4	3.5	
BSP-4	3.10	0.5	6.4	3.6	7.2	5.6	
BSP-5	3.10	0.5	7.6	6.3	11.0	5.2	

MT-1	3.40	0.5	0.4	4.0	1.2	6.2
		3.5		9.0		2.9

571

Regarding the prefactors, we first consider their ratios  $R_A$  and  $R_N$  since they depend only on squared contrast terms.

- 574
- 575  $\boldsymbol{R}_{A} = \frac{A_{\varphi,N}}{A_{\varphi,X}} = \frac{a(\varphi_{2})(\rho_{MN} \rho_{KN})_{N}^{2}}{a(\varphi_{2})(\rho_{MX} \rho_{KX})_{X}^{2}}$

576 and 
$$\sqrt{R_A} = \frac{(\rho_{MN} - \rho_{KN})_N}{(\rho_{MX} - \rho_{KX})_X}$$

577 
$$\boldsymbol{R}_{N} = \frac{N_{N}}{N_{X}} = \frac{n(\rho_{PN} - \rho_{KN})_{N}^{2}}{n(\rho_{PX} - \rho_{KX})_{X}^{2}}$$
(20a, 20b & 21)

578

579 The equation 20b, together with the equations 9 and 10 relating the neutron and X-ray kerogen SLD to the kerogen density d, form a system of three equations with three unknown ( $\rho_{KN}$ ,  $\rho_{KX}$  and d) that 580 could be easily solved. The extracted parameters are reported on *Table 7* for samples of various 581 maturity. It appears that the extracted densities are quite similar – about 1.65 g/cm<sup>3</sup> – for the BSP 582 samples and markedly different -1.74 g/cm<sup>3</sup> - for the MT1. It points out that BSP samples are mature 583 584 and MT1 overmature source rock as expected from Rock-Eval pyrolysis measurements (section 2.3.). Regarding the neutron SLD, the one of overmature source rock  $-3.7.10^{10}$  cm<sup>-2</sup> – is quite similar to the 585 586 one of mineral, suggesting that the scattering data could have been interpreted in the frame of a two-587 phase system. Conversely, the neutron SLD differences between minerals and kerogen for the mature source rocks are noticeable  $(0.5 - 0.7.10^{10} \text{ cm}^{-2})$ , justifying the three phases approximation developed 588 589 here. Regarding X-ray, the large differences between minerals and kerogen SLD show clearly that the 590 three-phase approximation is mandatory to interpret properly SAXS measurements.

591

592 **Table 7.** Values for  $\rho_{MN}$  and  $\rho_{MX}$  are calculated from equation 7. Kerogen densities,  $\rho_{KN}$ ,  $\rho_{KX}$  and

593  $\rho_{PX}$  are estimated from, respectively, equation 21, 9, 10 and 22. Estimated n values for kerogen pores

594

ana the associatea volume fractio	and the	associated	' vol	lume	fraction	n.
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Sample	mu	n	Volume fraction	Kerogen density <i>d</i>	$ ho_{MN}$	$\rho_{MX}$	$\rho_{KN}$	$\rho_{KX}$	$\rho_{PX}$
Ĩ	(nm)	$(x \ 10^{19} \ \text{cm}^{-3})$	(x 10 <sup>-2</sup> )	(g/cm <sup>3</sup> )	$(x \ 10^{10} \ \text{cm}^{-2})$	$(x \ 10^{10} \ \mathrm{cm}^{-2})$	$(x \ 10^{10} \ \text{cm}^{-2})$	$(x \ 10^{10} \ \text{cm}^{-2})$	$(x \ 10^{10} \ \mathrm{cm}^{-2})$
BSP-2	0.7	0,5	1.0	1.66	4.0	21.3	3.4	14.5	4.3
BSP-3	0.5	4.7	3.0	1.67	4.0	21.3	3.4	14.6	5.1
BSP-4	0.5	6.0	3.8	1.59	3.7	20.3	3.0	14.0	4.4
BSP-5	0.5	5.7	3.6	1.65	3.8	20.2	3.3	14.4	4.9
MT-1	0.5	2.6	1.6	1.74	4.1	21.6	3.7	15.1	0
MT-1	3.5	7.10 <sup>-4</sup>	0.15	-	-	-	-	-	0

595

596 Knowing kerogen SLD for both SAXS and SANS techniques,  $R_N$  ratios may provide fluids in place 597 SLD values assuming  $\rho_{PN} \sim 0$ . Then,  $\rho_{PX}$  can be expressed as :

$$\rho_{PX} = \frac{-\rho_{KN} + \sqrt{R_{2N}}\rho_{KX}}{\sqrt{R_N}}$$

598

(23)

Calculated  $\rho_{PX}$  values are listed in *Table 7* and displayed two different values. For BSP samples, a  $\rho_{PX}$  values ranging from 4.3.10<sup>10</sup> cm<sup>-2</sup> up to 5.10<sup>10</sup> cm<sup>-2</sup> are estimated. This value can be attributed to either light condensed hydrocarbons with SLD values smaller than pentane SLD (6.2.10<sup>10</sup> cm<sup>-2</sup>), or to a mixture of pores fulfilled by a fluid and empty pores. For the overmature MT1 sample, a value of 0 is found for the nanopores (0.5 nm) population and a value slightly negative for the mesopore (3.5 nm) population. The agreement with both a meaningful (positive)  $\rho_{PX}$  value and the best fit intensities gives a null value for mesopores SLD. Hence, for the overmature sample, nano- and meso- pores in kerogen are considered as empty in full agreement with Sh0 and Sh1 values found with Rock-Evalpyrolysis measurements.

From the prefactors  $n(\rho_P - \rho_R)^2_{X,N}$  and the SLD values, the number density of pores *n* were easily assessed and their values are reported in *Table 7*. The nanopores volume fraction  $\phi$  can be inferred from their number density *n* and their size distribution using  $\phi = n \ 4/3 \ \pi < R^3 >$ , where  $< R^3 >$  is the third moment of the size distribution that can be calculated analytically for the lognormal distribution. Numerical values are reported in *Table 7*. They are in the range 1-4% and rank roughly like the TOC content.

Concerning the pyrite influence on SAXS data, calculated intensities for BSP-4 and MT-1 samples, which exhibit the highest pyrite content (2.3 and 2.5 %vol), display the higher discrepancy with measured intensities in the Q range around 0.1 Å<sup>-1</sup>. This discrepancy is clearly ascribed to pyrite content that gives an additional intensity contribution. If intensity modeling is still acceptable for these samples, it is clear that for samples containing higher pyrite contents, the fitting procedure will give meaningless parameters, especially for the mesopores SLD. Hopefully, the other estimated parameters would stay unaffected by pyrite.

621

### 622 4. DISCUSSION

Source rocks is one of the most difficult material to characterize. Indeed, they are multiphase systems (minerals, solid organic matter and pore space filled by various liquid or gaseous hydrocarbons) and the relevant length scales extend from sub-nanometer for nanopores included in kerogen up to few microns for minerals or kerogen domains. Moreover, they are natural materials with inherent composition variability and laminated rocks where bedding could induce a structural orientation leading to anisotropy of properties. Based on these considerations, sample preparation is

one of the major concern when studying such materials. Complementary scattering techniques are 629 630 chosen here for their ability to probe the desired length scales. Herein, an innovative methodology is 631 proposed to overcome (i) composition variability, and (ii) loose of sample integrity and orientation 632 upon grinding. Thin blade samples allows to average several random X-ray scattering measurements 633 in a surface comparable to the section the neutron beam (a 5 mm diameter circular diaphragm). A sample thickness of  $\sim 200 \ \mu m$ , allow to get usable transmission for both radiation while avoiding 634 multiple scattering. Contrary to scattering measurements on powdered sample<sup>14,20,35,77</sup> or on thin blade 635 section cut parallel to bedding<sup>10,23,27,28</sup> that gives isotropic signal, the thin blades considered here are 636 cut normal to the bedding plane in order to get information on possible structural orientation.<sup>8,82</sup> 637 638 Finally, this sample preparation allow to compare X-ray and neutron scattering intensities obtained on 639 the same volume and in the same orientation.

640 Quantifying this orientation has to be taken into account when considering fluid flow properties for 641 transport issues or mechanical properties. Indeed, it is well known that bedding structures modify the rock permeability to fluids according to the considered orientation,<sup>8,12,17,83</sup> with values ranging from 642  $1.10^{-19}$  m<sup>2</sup> to  $1.10^{-21}$  m<sup>2</sup>, for normal to bedding cut, and  $1.10^{-21}$  m<sup>2</sup> to  $1.10^{-22}$  m<sup>2</sup>, for parallel to bedding 643 cut. In soil science, recent studies evidenced the importance of preferred clay orientation on the 644 diffusional properties of water.<sup>84</sup> Here, order parameter vary from 0 (random orientation) up to  $\sim 0.3$ . 645 646 The random orientation is associated to the coarse grained texture of Montney sample whereas 647 significant values of order parameters are found for fine grained Barnett shales. This striking 648 difference could be the result of various size ratio between low dimensionality particles such as clavs 649 or micas and other minerals. Indeed, it has been shown, on model systems of particles mixture (platelets and spheres), that orientation upon sedimentation depend on the diameter ratio.<sup>85</sup> Anisotropic 650 651 properties are also illustrated by the HS-GC experiment conducted on thin blade sections where the 652 concentration of thermodesorbed species in the vapor is much larger for normal cut than for parallel653 cut to the bedding (Supplementary Data).

654 The quantitative comparisons of X-ray and neutron scattering intensities are based on contrast factor 655 specific to each radiation. The detailed examination of scattering length densities evidence that source 656 rocks could be described for both radiation with three distinct contributions : minerals, kerogen and 657 pores. For overmature kerogens, the neutron scattering could be reduced to the frequently used two phase system approximation, matrix and pores.<sup>8,10,14,20,23,27,28,35,39,79</sup> This two phases model, based on 658 659 diluted polydispersed pores, is usually applied to retrieve the pore size distribution and the total 660 porosity. Here, considering three phases allow to broaden scattering techniques to low mature 661 kerogens and to X-ray measurements. A corresponding expression of scattering intensities based on 662 two main contributions is developed. The first one is related to the dispersion of kerogen domains in 663 the mineral matrix, which prevails at low O values, and turns out to be anisotropic. The second one 664 describes a diluted dispersion of nanopores in the kerogen, giving a dominant isotropic signal at large 665 *Q* values that remains moderate. We took advantage of anisotropy to consider the azimuthal direction 666 where the scattering at low Q is reduced in order to better appreciate the contribution, at large Q667 values, of kerogen nanoporosity. The three phases model allows to fit both X-ray and neutron data 668 with the same geometric parameters which prove the selectivity of the model. Moreover, the intensity 669 ratio between X-ray and neutron prefactors for each contribution allow to retrieve valuable parameters 670 such as (i) the X-ray and neutron kerogen SLD as well as its mass density, both of them being 671 considered as a maturity index, (ii) the nanopore size distribution, the number density of nanopores 672 and their associated volume fraction, and (iii) the X-ray SLD of nanopores that gives a first 673 approximation on the nature of filling fluids. All these parameters are reachable thanks to quantitative comparison of SANS and SAXS measurements, a strategy commonly applied to solve complex 674

675 nanostructural order.<sup>86,87</sup> The pyrite has not been taken into account for SAXS modeling and we show 676 that for pyrite content above  $\sim 2.5$  vol %, the SLD of nanopores is suspicious rendering the nature of 677 filling fluid unclear.

678 This methodology has been applied to a series of source rocks with an increasing maturity according 679 to Rock-Eval pyrolysis measurements. For mature samples, the SAXS/SANS analysis show (i) a kerogen mass density of  $\sim 1.64$  g.cm<sup>-3</sup> in good agreement with geochemical estimation (ii) a nanopore 680 size radius distribution centered on 0.5-0.7 nm, in agreement with the literature, <sup>20,35, 79,88</sup> with 20% of 681 682 polydispersity and accounting for pore volume fractions of  $\sim 0.01$  to 0.04. When the volume fraction is compared to the Rock-Eval pyrolysis TOC content (~ 4.5 to 9 % wt), the proportion of pores in 683 684 kerogen is about a fifth to a half of the kerogen domain. At such pore concentration, the assumption of 685 diluted pores could not always be valid and considering a structure factor S(Q) in the intensities 686 modeling should be taken into account. As any peak in the high Q region, relative to a short range pore 687 ordering, has been detected, a structure factor rather based on pore aggregates should be considered. It 688 would give additional information on nanopore connectivity relevant for future studies and (iii) an Xray nanopores SLD of ~4 to  $5.10^{10}$  cm<sup>-2</sup>. These values can account for either condensed (liquid) light 689 690 hydrocarbons or a mixture of heavier condensed hydrocarbons and empty pores. For the overmature sample, the kerogen mass density is higher  $(1.74 \text{ g.cm}^{-3})$  as expected, the pore size distribution is 691 692 bimodal with a mode close to the one of mature sample and an additional distribution of mesopores 693 (with a radius of 3.5 nm) accounting for a small pore volume fraction of ~ 0.1-0.2 %. Both porous 694 media are found to be empty in full agreement with almost null values of free hydrocarbons as 695 measured in the Sh0 and Sh1 values using Rock-Eval pyrolysis.

696 The developed methodology of sample preparation, measurement and data modeling allow to reach 697 several valuable parameters relevant for source rocks studies. Its application seems to give satisfactory results in comparison with already known parameters. The SAXS measurements could be improved notably. By using shorter wavelength (e.g. molybdenum), transmission would be higher, the exposure time lower and the fluorescence eliminated. If this wavelength is tuned finely around the absorption edge of Fe (ASAXS), the scattering contribution of pyrite should be mitigated rendering the pore content more accurate. As mentioned, data acquired with a better statistic would also allow to consider a smaller azimuthal aperture and to better appreciate the moderate signal of nanopores.

Finally, this fine characterization of the porous media could be seen as a first step before the introduction or the removal of various compounds, including hydrocarbons or  $CO_2$ , from the pores space. The proposed methodology, based on contrasts, would allow to probe the affinity of a fluid to pores contained in kerogen and would provide information on the pore connectivity.

### 708 5. CONCLUSION

709 This study was performed to better characterize source rocks for transport or mechanical issues. For that, a new methodology based on SAXS/SANS complementarity is developed. The sample 710 711 preparation, thin blade section cut normal to bedding, allow to compare directly the anisotropic X-ray 712 and neutron patterns. From 2D scattering analysis, an order parameter likely related to the preferential 713 orientation of lamellar minerals is inferred. It represents a valuable parameter that could be used for 714 mechanical or transport properties issues. At large Q values, an additional isotropic scattering 715 contribution, ascribed to nanopores in kerogen, is evidenced and mostly apparent at specific azimuthal 716 angles. For these later, the 1D scattering profiles are modeled by two contributions related to three 717 distinct phases : minerals, kerogen and pores. These two contributions are weighted by prefactors that 718 are different for each radiation. The exploitation of both prefactor, together with the one of the 719 scattering model allow to retrieve valuables parameters such as a kerogen maturity index, number 720 density of nanopores leading to their size distribution and also their associated volume fraction. The

latter being related to the kerogen maximum storage capacity for gaseous species or condensed fluids.
Moreover, X-ray pore space SLD gives some indications about the nature of filling fluids.

723 This methodology is tested on a series of source rocks of increasing maturities. The same set of 724 geometrical parameters allow to fit both X-ray and neutron data proving the selectivity of the model. 725 The kerogen maturity index is in agreement with the Rock-Eval pyrolysis maturity approximation. The 726 nanopore sizes and their associated volume fraction are in the range of, respectively, 0.5-0.7 nm and 727 0.01-0.04 for mature source rocks. They are likely filled either by light hydrocarbons, or a mixture of 728 heavy hydrocarbons and empty pores. For the overmature one, an additional pore size of 3.5 nm, 729 accounting for a volume fraction of  $\sim 0.2\%$ , is evidenced. For both population, pores appears empty in 730 full agreement with Rock-Eval pyrolysis measurements.

This new methodology proved to be efficient and open a new way to look quantitatively at nanoporous kerogen phase and its *in-situ* evolution upon treatment such as emptying or filling it with various fluids.

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### 736 6. ACKNOWLEDGEMENT

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# 745 7. SUPPORTING INFORMATION SAXS measurements on different sample thickness (100, 140 and 200 µm) are provided in 746 747 supplementary data as an illustration for no multiple scattering effects. Then, I(Q) as a function of 748 lamellar minerals content, for X-ray or neutron radiation, and as a function of TOC content (neutron 749 radiation) are provided. For the fitting procedure, data concerning pyrite modelization on SAXS data 750 and nanopores radius sensitivity, are displayed in supporting information. Chromatograms of HS-GC 751 measurements along source rocks lamination (normal or parallel cut to bedding) are also detailed. 752 753 8. REFERENCES 754 1- Kuuskraa V. A.; Stevens S. H. and Moodhe, K. (2013) World Shale Gas and Shale Oil Resource 755 Assessment. EIA/ARI. 756 2- Godec M.L.; Jonsson H. and Basava-Reddi L. (2013) Potential Global Implications of Gas 757 Production from Shales and Coal for Geological CO2 Storage. In : Energy Procedia, vol. 37, p. 6656-758 6666. DOI: 10.1016/j.egypro.2013.06.598. 759 3- IEA (2007). World Energy Outlook 2007: China and India Insights. In : IEA, Paris, ISBN: 978-760 92-64-02730-5 761 4- IEA (2019), World Energy Outlook 2019, In : IEA, Paris https://www.iea.org/reports/world-762 energy-outlook-2019

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